

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	•	)	
		:	Examiner: A. T. Perry
SHINICHI KAWATE ET AL.		)	
		:	Group Art Unit: 2879
Application No.: 09/940,642		)	
		:	
Filed: August 29, 2001		)	
		:	
For: ELECTRON-EMITTING	3 DEVICES	)	
ELECTRON SOURCES	, AND IMAGE	:	
FORMING APPARATU	JS	)	November 30, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the documents listed on Form PTO-1449, and a copy of a Japanese Official Letter citing the documents in the Form are enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

November 30, 2004 (Date of Deposit)

Signature

Leonard P. Diana (29,296)

(Name of Attorney for Applicants)

November 30, 2004

Date of Signature

Cited in the Japanese Official Letter are non-English, Japanese Patent Documents JP2000-277003, JP2001-052598, JP09-237565, JP11-194134 and JP2000-191302.

Japanese Documents JP2000-277003 and JP2001-052598 have not been included on Form PTO-1449, since these documents were previously made of record in the Information Disclosure Statement submitted on September 9, 2003. However, a copy of the English abstract for each document is submitted herewith merely for the Examiner's convenience and further understanding of the concise statement of relevance.

In addition, Japanese Document JP11-194134 is not listed on Form PTO-1449, because that document has been made of record in the Information Disclosure

Statement submitted on December 5, 2001. However, a further copy of an English abstract is submitted herewith merely for the Examiner's convenience and further understanding of the concise statement of relevance.

For the concise statement of relevance of non-English document

JP09-237565, the Examiner is respectfully referred to the English abstract attached hereto.

Lastly, for the concise statement of relevance of non-English document JP2000-191302, the Examiner is respectfully referred to the English abstract attached hereto.

## STATEMENT UNDER 37 C.F.R. § 1.97(e)

Each item of information listed on the Form PTO-1449 in this Information

Disclosure Statement was first cited in any communication from a foreign Patent Office in
a counterpart foreign application not more than three months prior to the filing date of this
Statement.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Leonard P. Diana

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FORM PTO 1449 (modified) ATTY DOCKET NO. APPLICATION NO. 03500.015728 09/940,642 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE **APPLICANT** SHINICHI KAWATE ET AL. LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) FILING DATE **GROUP AUGUST 29, 2001** 2879 U.S. PATENT DOCUMENTS \*EXAMINER DOCUMENT FILING DATE IF APPROPRIATE INITIAL NUMBER DATE NAME CLASS **SUBCLASS** FOREIGN PATENT DOCUMENTS **TRANSLATION** DOCUMENT DATE COUNTRY CLASS **SUBCLASS** YES/NO/ NUMBER OR ABSTRACT 2000-191302 A 11/2000 **JAPAN Abstract** 09-237565 A 9/1997 **JAPAN Abstract** OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

DATE CONSIDERED

Sheet 1 of 1

EXAMINER

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.